

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## APPLICANT:

Toshitsugu Ono et al.

## SERIAL NO.

09/543,844

## FILING DATE:

April 5, 2000

## INVENTION:

"OPTICAL RECORDING MEDIUM"



OLD ATTORNEY DOCKET NO. P00,0251  
 NEW ATTORNEY DOCKET NO. 09792909-0305  
 GROUP ART UNIT: 2754  
 EXAMINER: Unknown

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
 Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.

Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or

The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)

After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.

The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHEIN NATH &amp; ROSENTHAL

By David R. Metzger, Reg. 32,919

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CERTIFICATE OF MAILING

When phoning re this application,  
 please call (312) 876-8000

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on December 29, 2000.

David Francy

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

APPLICANT(S): Toshitsugu Ono  DOCKET NO.: 09792909-0305  
SERIAL NO.: 09/543,844 GROUP ART UNIT: 2754  
DATE FILED: April 5, 2000 EXAMINER: Unknown.  
INVENTION: "OPTICAL RECORDING MEDIUM"

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Hon. Assistant Commissioner for Patents

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Washington, DC 20231

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SIR:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

**I. SUBMITTED UNITED STATES PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
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**II. SUBMITTED FOREIGN PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AL	1112547	Japan	May 1, 1989
AM	06009976	Japan	January 18, 1994
AN	09241668	Japan	September 16, 1997
AO	4222448A1	Germany	January 14, 1993

**III. EXPLANATION OF RELEVANCE**

References AL, AM, AN and AO were cited in a European Patent Office Search Report of June 30, 2000 in counterpart European Application No. 00107379.0. A copy of the Search Report is submitted herewith.

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Submitted by,

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